

DM 12-315



UNION ATLANTIC ELECTRICITY

December 7, 2012

NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION
Debra Howland, Executive Director
21 South Fruit Street, Suite 10
Concord, NH 03301-2429

RE: CEPS Initial Registration Application for Union Atlantic Electricity

Dear Ms. Howland:

We wish to inform the Commission that our application may not be complete by December 25, 2012, which is 60 days after our initial submission. We have enclosed our Certificate of Authority, but we may need some additional time to meet financial assurance requirements and complete EDI testing with at least one transmission and distribution utility. We anticipate completion of all items by January 7.

Sincerely,

Clifford Ginn
President

Enc.



State of New Hampshire

Department of State

CERTIFICATE OF AUTHORITY OF

UNION ATLANTIC ELECTRICITY

The undersigned, as Secretary of State of the State of New Hampshire, hereby certifies that an Application of UNION ATLANTIC ELECTRICITY for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

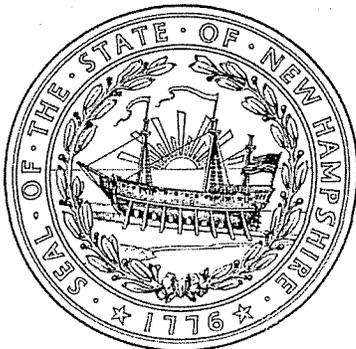
ACCORDINGLY the undersigned, as such Secretary of State, and by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to UNION ATLANTIC ELECTRICITY to transact business in this State under the name of UNION ATLANTIC ELECTRICITY CORP. and attaches hereto a copy of the Application for such Certificate.

Business ID#: 681265

IN TESTIMONY WHEREOF, I hereto
set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 1st day of November, 2012 A.D.



William M. Gardner
Secretary of State



State of New Hampshire

Filed
Date Filed: 11/01/2012
Business ID: 681265
William M. Gardner
Secretary of State

Filing fee: \$50.00
Fee for Form SRA: \$50.00
Total fees \$100.00

Form 40
RSA 293-A:15.03

Use black print or type.
Form must be single-sided on 8 1/2" x 11" paper;
double sided copies will not be accepted.

APPLICATION FOR CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

PURSUANT TO THE PROVISIONS of the New Hampshire Business Corporation Act, the undersigned corporation hereby applies for a certificate of authority to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the corporation is Union Atlantic Electricity

SECOND: The name which it elects to use in New Hampshire is Union Atlantic Electricity Corp.

THIRD: It is incorporated under the laws of Maine

FOURTH: The date of its incorporation is April 26, 2012 and the period of its duration is Perpetual

FIFTH: The complete address (including zip code and post office box, if any) of its principal office is 220 Maine Mall Road, South Portland, ME 04106

SIXTH: The name of its registered agent IN NEW HAMPSHIRE is Registered Agents, Inc.
and the complete address (including zip code and post office box, if any) of its registered office IN NEW HAMPSHIRE is (agent's business address)

91A North State St., Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

EIGHTH: The principal purpose or purposes which it proposes to pursue in the transaction of business in New Hampshire are sale of energy and energy services, and any other lawful purpose.

State of New Hampshire
Form 40 - Application for Certificate of Authority 4 Page(s)



T1230625041

NINTH: The names and usual business addresses of its current officers and directors are: (If there are additional officers or directors, attach additional sheet OR if the laws of the state of incorporation do not require directors, indicate below.)

<u>Name</u>	<u>Title</u>	<u>Address</u>
<u>OFFICERS</u>		
<u>Clifford Ginn</u>	<u>President, Sec'y, COO</u>	<u>79 Deerfield Road</u> <u>Portland, ME 04101</u>
<u>Samuel Spencer</u>	<u>Treasurer, CEO</u>	<u>128 Emery Street</u> <u>Portland, ME 04102</u>

<u>DIRECTORS</u>		
<u>Clifford Ginn</u>		<u>79 Deerfield Road</u> <u>Portland, ME 04101</u>
<u>Samuel Spencer</u>		<u>128 Emery Street</u> <u>Portland, ME 04102</u>

Union Atlantic Electricity
(Corporate Name)

Clifford Ginn
(Signature)

Clifford Ginn
(Print or type name)

President
(Title)

Date signed: October 22, 2012

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here:
clifford.ginn@unionatlanticelectricity.com

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL AND FORM SRA to: Corporation Division, Department of State, 107 North Main Street, Concord, NH 03301-4989. Physical location: 25 Capitol Street, 3rd Floor, Concord, NH 03301.

State of Maine



Department of the Secretary of State

I, the Secretary of State of Maine, certify that according to the provisions of the Constitution and Laws of the State of Maine, the Department of the Secretary of State is the legal custodian of the Great Seal of the State of Maine which is hereunto affixed and of the reports of organization, amendment and dissolution of corporations and annual reports filed by the same.

I further certify that UNION ATLANTIC ELECTRICITY, formerly INDEPENDENCE ELECTRICITY is a duly organized business corporation under the laws of the State of Maine and that the date of incorporation is April 26, 2012.

I further certify that on:

April 26, 2012
June 25, 2012

ARTICLES OF INCORPORATION were filed.
CHANGE OF LEGAL NAME was filed.

No further amendments have been filed to date.

I further certify that said business corporation has filed annual reports due to this Department, and that no action is now pending by or on behalf of the State of Maine to forfeit the charter and that according to the records in the Department of the Secretary of State, said corporation is a legally existing business corporation in good standing under the laws of the State of Maine at the present time.

In testimony whereof, I have caused the Great Seal of the State of Maine to be hereunto affixed. Given under my hand at Augusta, Maine, this twenty-second day of October 2012.



A handwritten signature in black ink, appearing to read 'Charles E. Summers, Jr.'.

Charles E. Summers, Jr.
Secretary of State

Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws

Part I – Business Identification and Contact Information

Business Name: Union Atlantic Electricity

Business Address (include city, state, zip): 220 Maine Mall Road, South Portland, ME 04106

Telephone Number: (207) 761-1844 E-mail: info@unionatlanticelectricity.com

Contact Person: Clifford Ginn

Contact Person Address (if different): _____

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected. **[PLEASE NOTE:** Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. **However,** you must insure that your business meets all of the requirements spelled out in A), B), and C):

1. Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets **ALL** of the following three requirements:
 - A) This business has **10 or fewer owners**; and
 - B) Advertising **relating to the sale of ownership interests** has not been circulated; and
 - C) Sales of ownership interests – if any – will be **completed within 60 days** of the formation of this business.
2. This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - _____
3. This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - _____
4. This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III – Check ONE of the following items in Part III:

1. This business **is not being** formed in New Hampshire.
2. This business **is** being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures **only**)

Name (print): <u>Clifford Ginn, President, Sec'y, COO</u>	Signature: <u><i>Clifford Ginn</i></u>
	Date signed: <u>October 22, 2012</u>
Name (print): _____	Signature: _____
	Date signed: _____
Name (print): _____	Signature: _____
	Date signed: _____